

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re United States Patent Application of:	)	
	)	Docket No.: 1001US1.014033.000015
Applicants: William J. Jetter et al.	)	
	)	Examiner: Oluseye Iwarere
Serial No.: 10/707,593	)	
	)	Confirmation No.: 1592
Date Filed: December 23, 2003	)	
	)	Customer No.: 24239
Title: SYSTEM AND METHOD TO	)	
MANAGE SUPPLY CHAIN	)	
SETTLEMENT, RISK AND	)	
LIQUIDITY	)	

Mail Stop 16  
 Director of the U.S. Patent and Trademark Office  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

**REQUEST FOR REFUND**

Sir/Madam:

It has recently come to our attention that the United States Patent and Trademark Office made a withdrawal of \$220.00 from Deposit Account No. 13-4365 in the name of Moore & Van Allen, PLLC on March 16, 2010, regarding Application Serial No. 10/707,593.

On December 23, 2003, applicant filed Application Serial No. 10/707,593 with 5 independent claims. Fees for two extra independent claims were paid upon filing. On March 16, 2010, The United States Patent and Trademark Office issued a Patent Application Fee Determination Record signed by legal instrument examiner Tia A. Bentley indicating that fees for only four independent claims were previously paid. A Patent Application Fee Determination Record signed by Mary A. Holmes was issued on March 31, 2010, indicating that fees for all five independent claims were previously paid. Copies of the foregoing documents are attached.

In light of the fact that fees for five independent claims were paid at the time of filing the application, the applicant respectfully requests that the Commissioner refund the March 16, 2010 electronic funds transfer in the amount of \$220.00, and credit Deposit Account No. 13-4365 for the \$220.00, in the name of Moore & Van Allen, PLLC.

If any other issues remain outstanding or if further documentation is required, the Office is respectfully requested to contact the undersigned at (919) 286-8000, so that such issues may be promptly resolved.

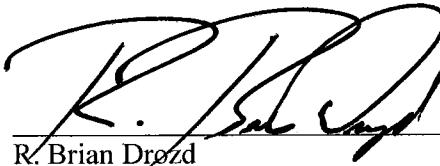
Respectfully submitted,

MOORE & VAN ALLEN, PLLAC

Date: \_\_\_\_\_

4/16/10

By: \_\_\_\_\_



R. Brian Drozd

Registration No. 55,130

Moore & Van Allen, PLLC

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Telephone: (919) 286-8000

Facsimile: (919) 286-8199

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<b>PATENT APPLICATION FEE DETERMINATION RECORD</b> Substitute for Form PTO-875					Application or Docket Number <b>10/707,593</b>		Filing Date <b>12/23/2003</b>		<input type="checkbox"/> To be Mailed	
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APPLICATION AS FILED – PART I						OTHER THAN SMALL ENTITY			
(Column 1)		(Column 2)		SMALL ENTITY <input type="checkbox"/>		OR		SMALL ENTITY	
FOR	NUMBER FILED	NUMBER EXTRA	RATE (\$)	FEE (\$)	RATE (\$)	FEE (\$)	RATE (\$)	FEE (\$)	
<input type="checkbox"/> BASIC FEE (37 CFR 1.16(a), (b), or (c))	N/A	N/A	N/A		N/A		N/A		
<input type="checkbox"/> SEARCH FEE (37 CFR 1.16(k), (l), or (m))	N/A	N/A	N/A		N/A		N/A		
<input type="checkbox"/> EXAMINATION FEE (37 CFR 1.16(o), (p), or (q))	N/A	N/A	N/A		N/A		N/A		
TOTAL CLAIMS (37 CFR 1.16(j))	minus 20 =	*	X \$	=	OR	X \$	=		
INDEPENDENT CLAIMS (37 CFR 1.16(h))	minus 3 =	*	X \$	=	OR	X \$	=		
<input type="checkbox"/> APPLICATION SIZE FEE (37 CFR 1.16(s))			If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).						
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))									
			TOTAL				TOTAL		

\* If the difference in column 1 is less than zero, enter "0" in column 2.

APPLICATION AS AMENDED – PART II						OTHER THAN SMALL ENTITY					
(Column 1)		(Column 2)		(Column 3)		SMALL ENTITY		OR		SMALL ENTITY	
AMENDMENT	DATE	CLAIMS REMAINING AFTER AMENDMENT	MINUS	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE (\$)	ADDITIONAL FEE (\$)	RATE (\$)	ADDITIONAL FEE (\$)		
AMENDMENT	<b>03/31/2010</b>	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE (\$)	ADDITIONAL FEE (\$)	RATE (\$)	ADDITIONAL FEE (\$)		
	Total (37 CFR 1.16(j))	* 61	Minus	** 65	= 0	X \$	=	OR	X \$52=	0	
	Independent (37 CFR 1.16(h))	* 5	Minus	***5	= 0	X \$	=	OR	X \$220=	0	
	<input type="checkbox"/> Application Size Fee (37 CFR 1.16(s))										
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))											
						TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	0	

(Column 1)		(Column 2)		(Column 3)		SMALL ENTITY		OR		SMALL ENTITY	
AMENDMENT	DATE	CLAIMS REMAINING AFTER AMENDMENT	MINUS	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE (\$)	ADDITIONAL FEE (\$)	RATE (\$)	ADDITIONAL FEE (\$)		
AMENDMENT	Total (37 CFR 1.16(j))	*	Minus	**	=	X \$	=	OR	X \$	=	
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						TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE		

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  
 \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".  
 \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".  
 The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

Legal Instrument Examiner:  
/MARY A. HOLMES/

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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<b>PATENT APPLICATION FEE DETERMINATION RECORD</b> Substitute for Form PTO-875					Application or Docket Number <b>10/707,593</b>		Filing Date <b>12/23/2003</b>		<input type="checkbox"/> To be Mailed				
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<input type="checkbox"/> BASIC FEE (37 CFR 1.16(a), (b), or (c))	N/A	N/A	N/A				N/A						
<input type="checkbox"/> SEARCH FEE (37 CFR 1.16(k), (l), or (m))	N/A	N/A	N/A				N/A						
<input type="checkbox"/> EXAMINATION FEE (37 CFR 1.16(o), (p), or (q))	N/A	N/A	N/A				N/A						
TOTAL CLAIMS (37 CFR 1.16(i))	minus 20 =	*	X \$	=			X \$	=					
INDEPENDENT CLAIMS (37 CFR 1.16(h))	minus 3 =	*	X \$	=			X \$	=					
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<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))													
* If the difference in column 1 is less than zero, enter "0" in column 2.					TOTAL		TOTAL						
<b>APPLICATION AS AMENDED – PART II</b>													
(Column 1)			(Column 2)		(Column 3)		SMALL ENTITY		OR		OTHER THAN SMALL ENTITY		
<b>AMENDMENT</b>	<b>03/16/2010</b>	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)			
	Total (37 CFR 1.16(i))	* 61	Minus	** 65	= 0	X \$	=		OR	X \$52=	0		
	Independent (37 CFR 1.16(h))	* 5	Minus	***4	= 1	X \$	=		OR	X \$220=	220		
	<input type="checkbox"/> Application Size Fee (37 CFR 1.16(s))												
	<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))												
					TOTAL ADD'L FEE		OR		TOTAL ADD'L FEE		<b>220</b>		
<b>AMENDMENT</b>		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)			
	Total (37 CFR 1.16(i))	*	Minus	**	=	X \$	=		OR	X \$	=		
	Independent (37 CFR 1.16(h))	*	Minus	***	=	X \$	=		OR	X \$	=		
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					TOTAL ADD'L FEE		OR		TOTAL ADD'L FEE				
<p>* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.</p> <p>** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".</p> <p>*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".</p> <p>The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.</p>													

Legal Instrument Examiner:  
/TIA A. BENTLEY/

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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## FEE TRANSMITTAL

Electronic Version v08

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<b>Title of Invention</b>	<b>SYSTEM AND METHOD TO MANAGE SUPPLY CHAIN SETTLEMENT, RISK AND LIQUIDITY</b>																																
<p>Application Number :</p> <p>Date :</p> <p>First Named Applicant: William J. Jetter</p> <p>Attorney Docket Number: 014033.000015</p>																																	
<p><b>TOTAL FEE AUTHORIZED \$ 1752</b></p> <p>Patent fees are subject to annual revisions on or about October 1st of each year.</p>																																	
<p>Filing as large entity</p> <p><b>BASIC FILING FEE</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"><thead><tr><th style="width: 30%;">Fee Description</th><th style="width: 20%;">Fee Code</th><th style="width: 20%;">Amount \$</th><th style="width: 30%;">Fee Paid \$</th></tr></thead><tbody><tr><td>Utility Filing Fee</td><td>1001</td><td style="text-align: right;">770</td><td style="text-align: right;">770</td></tr><tr><td colspan="4" style="text-align: right;">Subtotal For Basic Filing Fees: \$ 770</td></tr></tbody></table> <p><b>EXTRA CLAIM FEES</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"><thead><tr><th style="width: 30%;">Fee Description</th><th style="width: 10%;">Extra Claim</th><th style="width: 20%;">Fee Code</th><th style="width: 20%;">Amount \$</th><th style="width: 20%;">Fee Paid \$</th></tr></thead><tbody><tr><td>Total Claims : 65</td><td style="text-align: center;">45</td><td style="text-align: center;">1202</td><td style="text-align: right;">18</td><td style="text-align: right;">810</td></tr><tr><td>Independent Claims : 5</td><td style="text-align: center;">2</td><td style="text-align: center;">1201</td><td style="text-align: right;">86</td><td style="text-align: right;">172</td></tr><tr><td colspan="5" style="text-align: right;">Subtotal For Extra Claims Fees: \$ 982</td></tr></tbody></table>		Fee Description	Fee Code	Amount \$	Fee Paid \$	Utility Filing Fee	1001	770	770	Subtotal For Basic Filing Fees: \$ 770				Fee Description	Extra Claim	Fee Code	Amount \$	Fee Paid \$	Total Claims : 65	45	1202	18	810	Independent Claims : 5	2	1201	86	172	Subtotal For Extra Claims Fees: \$ 982				
Fee Description	Fee Code	Amount \$	Fee Paid \$																														
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Subtotal For Extra Claims Fees: \$ 982																																	
<p><b>AUTHORIZED BILLING INFORMATION</b></p> <p><b>The commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</b></p> <p>Deposit account number: 134365</p> <p>Access Code: ****</p> <p>Deposit name: Moore and Van Allen PLLC</p> <p>Deposit authorized name: Charles L. Moore, Jr.</p> <p>Signature: Charles L. Moore, Jr.</p> <p>Date (YYYYMMDD): 2003-12-23</p> <p>Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.</p>																																	

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